

# Normalizing $S$ -Terms can be Generated by a Context-free Grammar

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**Abstract.** Curry's Combinatory Logic is a functional calculus which may serve as a foundation to the theory of computations, even to computational complexity. Combinatory Logic, which is based on the two combinators  $S$  and  $K$ , is an undecidable theory. The theory based only on  $S$  has been proven decidable by J. Waldmann. Zachos simplified the proof and gave a decision algorithm. Here we make a further step in lowering the complexity of the decision algorithm. We present a context-free grammar which fully characterizes all normalizing  $S$ -terms. Thus the complexity of deciding whether an  $S$ -term  $X$  has a normal form is  $O(|X|^3)$  given by the CYK algorithm.

## 1 Related Work and Motivation

“Given an  $S$ -term, is it normalizable?” The question was answered positively [15]. In [16, 17], a simpler proof without the use of rational tree languages and an actual decision algorithm were presented. Many people have been involved in similar investigations, for example: Barendregt, Bergstra, Klop, Statman, Dershowitz, Jouannaud, Smullyan etc. [18, 1–3, 7–9, 11, 13, 14, 12].

The original motivation of this problem was the need to create a *Functional Calculus* instead of *Set Theory* as a foundation for Theory of Computation, i.e. for Computability (Thue, Schönfinkel [10], Curry [5, 6], Church [4], Turing, Markov) but even for Computational Complexity. In such a functional calculus only *one operation* is needed: application  $f(g)$ . We write  $(fg)$  instead of  $f(g)$ .

Schönfinkel made the following observation: functions of one argument are enough, e.g.,  $f(g, h) = ((fg)h)$ . We use left association for dropping some parentheses, i.e., instead of  $((((fg)(h(gh))))((gh)((fh)f))))$  we write  $fg(h(gh))(gh(fh)f)$ .

An example is the  $SK$ -Calculus or Combinatory Logic of H. Curry [5]. It postulates combinators and rewriting rules, e.g.  $St_1t_2t_3 = t_1t_3(t_2t_3)$  and  $Kt_1t_2 = t_1$ . Actually there are many other combinators and rewriting rules but the system  $\{S, K\}$  is complete.

## 2 Introduction

We call  $S$ -terms the elements of a system generated by one symbol  $S$  and one non-associative and non-commutative (implicit) operation that we call application. We construct  $S$ -terms as strings of  $S$ 's and parentheses with the following rules:

- $S$  is an  $S$ -term.
- If  $m_1$  and  $m_2$  are  $S$ -terms,  $(m_1 m_2)$  is an  $S$ -term.

In this construction we say that  $m_1$  and  $m_2$  are *proper sub-terms* of the constructed  $S$ -term.  $S$  is a sub-term of any  $S$ -term. Also, we say that an  $S$ -term is a sub-term of itself. We may abbreviate by omitting parentheses by using left association. For example, we write  $SS(S(SSS))S$  instead of  $((S(S)(S((S(S)S)))S)$  and  $xyz = (xy)z \neq x(yz)$ .

Here, we use lower case italic letters to represent  $S$ -terms. We use upper case calligraphic letters to represent sets of  $S$ -terms. For any sets of  $S$ -terms  $\mathcal{A}$  and  $\mathcal{C}$ , we will write  $\mathcal{AC} = \{ac \mid a \in \mathcal{A} \text{ and } c \in \mathcal{C}\}$ .

We define the length of an  $S$ -term to be the number of occurrences of the symbol  $S$  in the term. For any  $S$ -term  $x$ , we write  $|x|$  to denote the length of  $x$ .

The reduction relation  $\rightarrow$  is defined here by the  $S$ -rule:

$$Sadc \xrightarrow{\text{def}} ac(dc).$$

The left hand side,  $Sadc$ , is sometimes called *redex* and the right hand side,  $ac(dc)$ , *reductum*. In particular,

$$SSdc \longrightarrow Sc(dc).$$

In general we write  $x \rightarrow y$  if  $y$  can be written by replacing some redex, sub-term, in  $x$  by the corresponding reductum of the  $S$ -rule.

When reducing by the  $S$ -rule we eliminate one symbol  $S$  from an  $S$ -term and introduce a replica of a sub-term in the  $S$ -term, hence, if  $x \rightarrow y$ ,  $|x| \leq |y|$ . Thus a reduction step certainly does not reduce the length of the  $S$ -term.

Here, we will use an abbreviation  $B \stackrel{\text{def}}{=} S(SS)$ . Using the  $S$ -rule twice we get:

$$Bad = S(SS)ad \longrightarrow SSd(ad) \longrightarrow S(ad)(d(ad)).$$

that we will write:

$$Bad \xrightarrow{2} S(ad)(d(ad)).$$

In general, for  $k \geq 0$ , we write  $\xrightarrow{k}$  to represent  $k$  reduction steps.

Here we describe other extensions of the relation  $\rightarrow$ . The transitive closure of  $\rightarrow$  is denoted by  $\xrightarrow{+}$  and its reflexive transitive closure is denoted by  $\xrightarrow{*}$ . For two sets of  $S$ -terms  $\mathcal{X}$  and  $\mathcal{Y}$ , we will write  $\mathcal{X} \rightarrow \mathcal{Y}$  if for any  $x \in \mathcal{X}$  we can apply the  $S$ -rule on some redex sub-term of  $x$  so that  $x \rightarrow y$  for some  $y \in \mathcal{Y}$ . Similarly, we will extend the other relations described above to sets of  $S$ -terms.

We say that an  $S$ -term  $x$  is in *normal form* if the  $S$ -rule cannot be applied to any sub-term of  $x$ , i.e., there is no redex in  $x$ . We say that  $x$  has a *normal form* and write  $x \downarrow$  if  $x \xrightarrow{*} n$  for some  $n$  in normal form; we write  $x \uparrow$  otherwise, i.e., if  $x$  does not have a normal form, which is equivalent to: there is a non-terminating reduction chain starting with  $x$ . The following was proven by Waldmann [15] and improved by Zachos [16].

**Theorem.** *There is an algorithm that decides if a given a  $S$ -term has a normal form and in that case produces the corresponding unique normal form.*

### 3 Notations

We first introduce some further notation and state some necessary technical facts.

Suppose  $x \rightarrow y$ . Then, for any sub-term  $z$  of  $y$  we will write  $x \xrightarrow{\circ} z$ . For example:

$$Sadc \xrightarrow{\circ} dc.$$

As extensions of  $\xrightarrow{\circ}$ , we will denote its transitive closure by  $\xrightarrow{\oplus}$  and its reflexive transitive closure by  $\xrightarrow{\otimes}$ . Using this notation we have the following fact:

Suppose  $\mathcal{X} \xrightarrow{\oplus} \mathcal{X}$ . Then, there is an infinite reduction chain starting with any  $x \in \mathcal{X}$ , i.e.,  $\mathcal{X} \uparrow$ .

We are using a notation similar to regular expressions, e.g., we write  $S$  instead of  $\{S\}$ , we write  $\mathcal{X} + \mathcal{Y}$  instead of  $\mathcal{X} \cup \mathcal{Y}$ , etc.  $\mathcal{M}$  is the set of all  $S$ -terms;  $\mathcal{N}$  is the set of all  $S$ -terms that are in normal form.

$$\mathcal{M} \stackrel{\text{def}}{=} S + \mathcal{M}\mathcal{M}, \quad \mathcal{N} \stackrel{\text{def}}{=} S + S\mathcal{N} + S\mathcal{N}\mathcal{N}$$

For any set  $\mathcal{A}$  we define  $\overline{\mathcal{A}} = \mathcal{M} - \mathcal{A}$ .

With this notation, we will also define the sets:

$$\mathcal{Q}_1 \stackrel{\text{def}}{=} \overline{S}, \quad \mathcal{Q}_2 \stackrel{\text{def}}{=} \overline{S + SS}, \quad \mathcal{Q}_3 \stackrel{\text{def}}{=} \overline{S + SS + S(SS)} = \overline{S + SS + B}$$

So  $\mathcal{Q}_1$  is the set of all  $S$ -terms of length greater than one;  $\mathcal{Q}_2$  is the set of all  $S$ -terms of length greater than two. Some immediate facts are:

$$\mathcal{Q}_1 = SS + \mathcal{Q}_2, \quad \mathcal{M}\mathcal{Q}_i \subseteq \mathcal{Q}_{i+1} \subseteq \mathcal{Q}_i \quad \text{for } i \in \{1, 2\}, \quad \mathcal{M}\mathcal{Q}_3 \subseteq \mathcal{Q}_3$$

Since every reductum is in  $\mathcal{M}\mathcal{M}\mathcal{M} \subseteq \mathcal{Q}_3 \subseteq \mathcal{Q}_2 \subseteq \mathcal{Q}_1$ , we can always write  $x \rightarrow \mathcal{Q}_i$  for any redex  $x$  (or any term  $x$  that has a redex!) and  $i = 1, 2$ , and 3.

For sets of  $S$ -terms  $\mathcal{X}$  (the *prefix* set) and  $\mathcal{Y}$  (the *base* set) we recursively define  $\mathcal{X}^n[\mathcal{Y}]$  for all  $n \geq 0$  by:  $\mathcal{X}^0[\mathcal{Y}] = \mathcal{Y}$  and  $\mathcal{X}^{k+1}[\mathcal{Y}] = \mathcal{X}(\mathcal{X}^k[\mathcal{Y}])$ , for  $k \geq 0$ . The set of all terms defined above is:  $\mathcal{X}^*[\mathcal{Y}] = \sum_{n \geq 0} \mathcal{X}^n[\mathcal{Y}] = \mathcal{X}^0[\mathcal{Y}] + \mathcal{X}^1[\mathcal{Y}] + \mathcal{X}^2[\mathcal{Y}] + \dots$ , which is the (least) solution of the fixpoint equation:  $\mathcal{X}^*[\mathcal{Y}] = \mathcal{Y} + \mathcal{X}(\mathcal{X}^*[\mathcal{Y}])$ . For example:

$$(SS + B)^*[\mathcal{X}] = \mathcal{X} + SS\mathcal{X} + B\mathcal{X} + SS(SS\mathcal{X}) + SS(B\mathcal{X}) + B(SS\mathcal{X}) + B(B\mathcal{X}) + \dots$$

**Definition.**  $\mathcal{E} \stackrel{\text{def}}{=} (SS)^*[\mathcal{Q}_2\mathcal{Q}_1]$  which is equal to  $(\mathcal{Q}_1)^*[\mathcal{Q}_2\mathcal{Q}_1]$ .

### 4 Easy Facts

**Proposition R.** For any  $S$ -terms sets  $\mathcal{X}$  and  $\mathcal{Y}$ :  $(SM)^*[\mathcal{X}]\mathcal{Y} \xrightarrow{\otimes} \mathcal{X}\mathcal{Y}$ , in particular, if  $\mathcal{X}\mathcal{Y} \uparrow$  then  $(SM)^*[\mathcal{X}]\mathcal{Y} \uparrow$ .

**Results ([16]).**  $\mathcal{E}\mathcal{E} \uparrow$ ,  $\mathcal{Q}_3\mathcal{Q}_2\mathcal{Q}_1 \uparrow$ ,  $(\mathcal{Q}_3\mathcal{Q}_2\mathcal{Q}_1 + \mathcal{E}\mathcal{E}) \uparrow$ .

## 5 Classification

We can limit ourselves to  $S$ -terms of the form  $\mathcal{N}\mathcal{N}$ . We proceed by classifying all  $S$ -terms in  $\mathcal{N}$  into different classes  $\mathcal{H}_0, \mathcal{H}_1, \mathcal{L}_0, \mathcal{L}_1, \dots$

$$\mathcal{H}_0 \stackrel{\text{def}}{=} (SS + B)^*[S + SN + SBS + SB(SS)], \quad \mathcal{H}_1 \stackrel{\text{def}}{=} (SS + B)^*[Q_3Q_2 + SQ_3\mathcal{M}]$$

**Facts.**  $\mathcal{H}_0 \subseteq \mathcal{N}$ , unlike  $\mathcal{H}_1$ , and  $\mathcal{H}_0, \mathcal{H}_1$  are disjoint.

**Result 1 ([16]).**  $\mathcal{H}_0$  and  $\mathcal{H}_1$  cover  $\mathcal{N}$

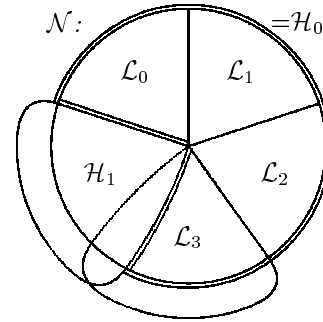
We further refine and dissect  $\mathcal{H}_0$  into more mutually disjoint sets:

$$\begin{aligned} \mathcal{L}_0 &\stackrel{\text{def}}{=} (SS)^*[S + SN] & \mathcal{L}_2 &\stackrel{\text{def}}{=} (SS)^*[B(SS) + BB] \\ \mathcal{L}_1 &\stackrel{\text{def}}{=} (SS)^*[BS + SBS] & \mathcal{L}_3 &\stackrel{\text{def}}{=} (SS)^*[SB(SS) + BQ_3] \end{aligned}$$

**Facts.**  $\mathcal{L}_{012} \stackrel{\text{def}}{=} \mathcal{L}_0 + \mathcal{L}_1 + \mathcal{L}_2 \subseteq \mathcal{H}_0$  and  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3$  are mutually disjoint.

**Result 2 ([16]).**  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}_2,$  and  $\mathcal{L}_3$  cover  $\mathcal{H}_0$ .

From Results 1 and 2, we partition  $\mathcal{N}$  according to the sideways diagram (the circle represents  $\mathcal{N}$ , double lines surround  $\mathcal{H}_0$ ; notice that  $\mathcal{H}_1$  and  $\mathcal{L}_3$  intersect both inside and outside of  $\mathcal{N}$ , but that will not be a problem).



## 6 Structure of the Proof

With the above definitions and letting  $\mathcal{L}_{23} \stackrel{\text{def}}{=} \mathcal{L}_2 + \mathcal{L}_3$  and  $\mathcal{L}_{123} \stackrel{\text{def}}{=} \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3$ , the Theorem can be shown by proving (see [16]) the following parts:

**Part 1.**  $\mathcal{L}_0\mathcal{N} \downarrow$

**Part 2.**  $\mathcal{H}_0\mathcal{L}_0 \downarrow$

**Part 3.**  $\mathcal{L}_1\mathcal{H}_0 \downarrow$

**Part 4.**  $\mathcal{L}_2\mathcal{L}_1 \downarrow$

**Part 5.**  $\mathcal{L}_{23}\mathcal{L}_{23} \uparrow$

**Part 6.**  $\mathcal{L}_3\mathcal{L}_1 \uparrow$

**Part 7.**  $\mathcal{H}_1\mathcal{Q}_2 \uparrow$

**Part 8.**  $\mathcal{L}_{123}\mathcal{H}_1 \uparrow$

**Part 9.** Whether  $(\mathcal{H}_1 \cap \mathcal{N})(SS + S) \uparrow$  can be decided by reduction to parts 1 to 8.

## 7 A Grammar for $\langle \mathcal{H}_0 \rangle$

From this point on, we use the angle brackets  $\langle \cdot \rangle$  to denote the set of “predecessors” for a given set. That is, for any set  $\mathcal{A}$ ,

$$\langle \mathcal{A} \rangle \stackrel{\text{def}}{=} \{x \in \mathcal{M} \mid x \xrightarrow{*} \mathcal{A}\}.$$

It is our objective to develop a context free grammar to recognize  $\langle \mathcal{N} \rangle$ . Naturally, we will use these sets of predecessors as non-terminal symbols in our grammar. Many of the technical proofs have been omitted.

The terms in  $\langle \mathcal{N} \rangle$  are either  $S$  or terms of from  $\langle \mathcal{N} \rangle \langle \mathcal{N} \rangle$ . To describe the terms of the second form we use the classification of  $\mathcal{N}$  into  $\mathcal{H}_0$  and  $\mathcal{H}_1$ , and the further classification of  $\mathcal{H}_0$  into  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}_2$ , and  $\mathcal{L}_3$ . With these classes, the applications described in PARTS 1 through 6 cover all possible terms in  $\mathcal{N}\mathcal{N}$ . Because of the incomplete nature of the result in Part 6 we need to the define the following sets:

$$\begin{aligned} \mathcal{N}^{-s} &\stackrel{\text{def}}{=} \{ n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{N} \} & \text{and} \\ \mathcal{N}^{-ss} &\stackrel{\text{def}}{=} \{ n \in \mathcal{N} \mid n(SS) \xrightarrow{*} \mathcal{N} \}. \end{aligned}$$

Then, the results from PARTS 1-6 prove the sufficiency of:

$$\langle \mathcal{N} \rangle ::= S \mid \langle \mathcal{L}_0 \rangle \langle \mathcal{N} \rangle \mid \langle \mathcal{H}_0 \rangle \langle \mathcal{L}_0 \rangle \mid \langle \mathcal{L}_1 \rangle \langle \mathcal{H}_0 \rangle \mid \langle \mathcal{L}_2 \rangle \langle \mathcal{L}_1 \rangle \mid \langle \mathcal{N}^{-s} \rangle S \mid \langle \mathcal{N}^{-ss} \rangle (SS)$$

(Here, the production symbol  $::=$  can be substituted by equality when the disjunction symbols  $\mid$  are substituted by union.) In this section we will expand  $\langle \mathcal{H}_0 \rangle$ .

Before proceeding, we need:

**Proposition 1.** *The sets  $\mathcal{Q}_3\mathcal{Q}_2, S\mathcal{Q}_3\mathcal{M}$ , and, by extension,  $\mathcal{H}_1$  are closed under reduction, that is, if a term in either set reduces, the resulting term lies in the same set.*

The result above reveals that  $\langle \mathcal{H}_0 \rangle$  and  $\mathcal{Q}_3\mathcal{Q}_2$  are disjoint. Since  $\mathcal{H}_0 \subseteq \mathcal{N}$ , this fact is equivalent to  $\langle \mathcal{H}_0 \rangle \subseteq (S+SS+B)\langle \mathcal{N} \rangle + \langle \mathcal{N} \rangle(S+SS)$ . This will facilitate the grammatical description of  $\langle \mathcal{H}_0 \rangle$ . For this, we introduce the following sets:

$$\begin{aligned} \mathcal{H}_0^{-s} &\stackrel{\text{def}}{=} \{ n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{H}_0 \} & \text{and} \\ \mathcal{H}_0^{-ss} &\stackrel{\text{def}}{=} \{ n \in \mathcal{N} \mid n(SS) \xrightarrow{*} \mathcal{H}_0 \}. \end{aligned}$$

Hence, we can describe  $\langle \mathcal{H}_0 \rangle$  completely with:

$$\langle \mathcal{H}_0 \rangle ::= S \mid S \langle \mathcal{N} \rangle \mid SS \langle \mathcal{H}_0 \rangle \mid B \langle \mathcal{H}_0 \rangle \mid \langle \mathcal{H}_0^{-s} \rangle S \mid \langle \mathcal{H}_0^{-ss} \rangle (SS)$$

because the application of other pairs of strings in  $\langle \mathcal{N} \rangle \langle \mathcal{N} \rangle$  results in  $\mathcal{H}_1$ . However, we are left with the task of producing rules for  $\langle \mathcal{H}_0^{-s} \rangle$  and  $\langle \mathcal{H}_0^{-ss} \rangle$ . We start with:

**Proposition 2.**  $\mathcal{H}_0^{-ss} = (SS)^*[S + SS + B + SB]$ .

Readily,  $\mathcal{H}_0^{-ss} \subseteq \mathcal{L}_0 \subseteq \mathcal{H}_0$ . We classify the terms in  $\mathcal{H}_0^{-ss}$  as follows:  $S \in \mathcal{H}_0^{-ss}$ ; the terms in  $\mathcal{H}_0^{-ss}$  of the form  $S\mathcal{N}$  are  $SS + B + SB$ ; and the terms in  $\mathcal{H}_0^{-ss}$  of the form  $S\mathcal{N}\mathcal{N}$  are the terms in  $SS\mathcal{H}_0^{-ss}$ .

**Proposition 3.**  $\{ n \in \mathcal{N} \mid n(SS) \xrightarrow{*} \mathcal{H}_0^{-ss} \} = S + SS$ .

Let

$$\mathcal{K}_0 \stackrel{\text{def}}{=} (SS)^*[S], \quad \mathcal{K}_1 \stackrel{\text{def}}{=} (SS)^*[SS], \quad \text{and} \quad \mathcal{K}_{01} \stackrel{\text{def}}{=} \mathcal{K}_0 + \mathcal{K}_1 = (SS)^*[S + SS].$$

**Proposition 4.**  $\{n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{H}_0^{-SS}\} = \mathcal{K}_{01}$ .

**Proposition 5.**  $\mathcal{H}_0^{-S} = (SS)^*[S + SS + B + SB + S\mathcal{K}_{01}S]$ .

We can define  $\mathcal{L}_0^{-S}$ ,  $\mathcal{L}_1^{-S}$ ,  $\mathcal{L}_2^{-S}$ , and  $\mathcal{L}_3^{-S}$  in a manner similar to that of  $\mathcal{H}_0^{-S}$ . I.e.,

$$\mathcal{L}_i^{-S} \stackrel{\text{def}}{=} \{n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{L}_i\}$$

for  $i = 0, 1, 2, 3$ . With these definitions:

**Corollary 1.**

$$\begin{aligned} \mathcal{L}_0^{-S} &= (SS)^*[S + SS] = \mathcal{K}_{01}, \\ \mathcal{L}_1^{-S} &= (SS)^*[B + SB], \\ \mathcal{L}_2^{-S} &= (SS)^*[BS] \subseteq (SS)^*[S\mathcal{K}_{01}S], \quad \text{and} \\ \mathcal{L}_3^{-S} &= (SS)^*[S\mathcal{K}_{01}S] - (SS)^*[SSS] - (SS)^*[BS]. \end{aligned}$$

For any  $S$ -term  $m$  if  $m = m_l m_r$  for some terms  $m_l$  and  $m_r$ , we say  $m_r$  is a *right sub-term* of  $m$ . We extend the notion of right sub-term to include its reflexive transitive closure. We now show some results about right sub-term ahead.

**Proposition 6.** *Given any  $S$ -term  $m$ . For any  $m'$  such that  $m \xrightarrow{*} m'$ , every  $n \in \mathcal{N}$  that is a right sub-term of  $m$  is also a right sub-term of  $m'$ .*

**Corollary 2.** *For any  $n \in \mathcal{N}$ , suppose  $mc \xrightarrow{*} n$  for some  $m \in \mathcal{M}$  and  $c \in \mathcal{N}$ . Then,  $c$  is a right sub-term of  $n$ .*

**Corollary 3.** *Suppose  $m$  is the reductum reduced from some redex  $Sadc$  with  $c \in \mathcal{N}$  and  $m \xrightarrow{*} m_1 m_2$ . Then  $c$  is a proper right sub-term of both  $m_1$  and  $m_2$ .*

*Remark 1.* Given  $\mathcal{A} = (SS)^*[\mathcal{D}]$  with  $\mathcal{D} \subseteq \mathcal{N}$ , suppose  $xy \xrightarrow{*} \mathcal{A}$  for some  $x$  and  $y$ . Then, either  $xy \xrightarrow{*} \mathcal{D}$ , or  $x = SS$ , or  $y = S$ . This is justified as follows: The first two options are trivial. If none of those two options are satisfied, we would face the reduction  $xy \xrightarrow{*} SSa \in \mathcal{A}$  (for some  $a \in \mathcal{A}$ !). In such case, Corollary 3 imposes the third choice by stating (the normal form of)  $y$  is a proper right sub-term of  $SS$ . (Note for any  $d \in \mathcal{D}$ , we can search for all pairs  $(x, y)$  so that  $xy \xrightarrow{*} d$  by exhausting all pairs that satisfy  $|xy| \leq |d|$ .)

Corollary 3 shows that no term is  $S\mathcal{N}S$  is a reductum. Neither terms in  $S + S\mathcal{N}$  are reducta. After this, Remark 1 above establishes how to determine all  $xy$  such that  $xy \xrightarrow{*} (SS)^*[S + S\mathcal{N} + B + SB + S\mathcal{L}_0^{-S}S] = \mathcal{H}_0^{-S}$  (recall Proposition 5 and Corollary 1). For instance, let:

$$\mathcal{K}_2 \stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_0^{-S}] \quad \text{and} \quad \mathcal{K}_3 \stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_0^{-S}S].$$

For these, Proposition R tells us:

$$\mathcal{K}_2 S \xrightarrow{*} \mathcal{K}_3.$$

while Remark 1 ensures no other reductions result in  $\mathcal{K}_3$ . That ensures the sufficiency of:

$$\langle \mathcal{K}_3 \rangle ::= \langle \mathcal{K}_2 \rangle S \mid S S \langle \mathcal{K}_3 \rangle$$

This way, we can produce a “complete” context free grammar for  $\langle \mathcal{H}_0 \rangle$  using on the following set of rules:

- (i)  $\langle \mathcal{H}_0 \rangle ::= S \mid S \langle \mathcal{N} \rangle \mid S S \langle \mathcal{H}_0 \rangle \mid B \langle \mathcal{H}_0 \rangle \mid \langle \mathcal{H}_0^{-S} \rangle S \mid \langle \mathcal{H}_0^{-SS} \rangle (S S)$
- (ii)  $\langle \mathcal{H}_0^{-S} \rangle ::= \langle \mathcal{H}_0^{-SS} \rangle \mid \langle \mathcal{K}_3 \rangle$
- (iii)  $\langle \mathcal{H}_0^{-SS} \rangle ::= \langle \mathcal{L}_0^{-S} \rangle \mid \langle \mathcal{L}_1^{-S} \rangle$
- (iv)  $\langle \mathcal{L}_0^{-S} \rangle ::= \langle \mathcal{K}_0 \rangle \mid \langle \mathcal{K}_1 \rangle$
- (v)  $\langle \mathcal{L}_1^{-S} \rangle ::= B \mid S B \mid S S \langle \mathcal{L}_1^{-S} \rangle$
- (vi)  $\langle \mathcal{K}_3 \rangle ::= \langle \mathcal{K}_2 \rangle S \mid S S \langle \mathcal{K}_3 \rangle$
- (vii)  $\langle \mathcal{K}_2 \rangle ::= \langle \mathcal{K}_1 \rangle \mid S \langle \mathcal{L}_0^{-S} \rangle \mid S S \langle \mathcal{K}_2 \rangle$
- (viii)  $\langle \mathcal{K}_1 \rangle ::= \langle \mathcal{K}_0 \rangle S \mid S S \langle \mathcal{K}_1 \rangle$
- (ix)  $\langle \mathcal{K}_0 \rangle ::= S \mid \langle \mathcal{K}_1 \rangle S \mid S S \langle \mathcal{K}_0 \rangle$
- (x)  $B ::= S (S S)$

Our grammar for  $\langle \mathcal{N} \rangle$  also needs rules for  $\langle \mathcal{L}_0 \rangle$ ,  $\langle \mathcal{L}_1 \rangle$ , and  $\langle \mathcal{L}_2 \rangle$ . These rules also follow easily after Remark 1. We have shown already  $\mathcal{L}_0^{-S}$ ,  $\mathcal{L}_1^{-S}$ , and  $\mathcal{L}_2^{-S}$  in Corollary 1. Indeed, we already have rules for  $\langle \mathcal{L}_0^{-S} \rangle$  and  $\langle \mathcal{L}_1^{-S} \rangle$ . However,  $\mathcal{L}_2^{-S}$  is simply presented as a subset of  $\mathcal{K}_3$ . For that reason, we introduce:

$$\mathcal{K}_4 \stackrel{\text{def}}{=} (SS)^*[B].$$

Then (note  $SSS(SS) \rightarrow BB \in \mathcal{L}_2$  but no  $xy \rightarrow B(SS)!$ ),

- (xi)  $\langle \mathcal{L}_0 \rangle ::= S \mid S \langle \mathcal{N} \rangle \mid \langle \mathcal{L}_0^{-S} \rangle S \mid S S \langle \mathcal{L}_0 \rangle$
- (xii)  $\langle \mathcal{L}_1 \rangle ::= \langle \mathcal{L}_1^{-S} \rangle S \mid S S \langle \mathcal{L}_1 \rangle$
- (xiii)  $\langle \mathcal{L}_2 \rangle ::= B (S S) \mid S S S (S S) \mid B B \mid \langle \mathcal{L}_2^{-S} \rangle S \mid S S \langle \mathcal{L}_2 \rangle$
- (xiv)  $\langle \mathcal{L}_2^{-S} \rangle ::= \langle \mathcal{K}_4 \rangle S \mid S S \langle \mathcal{L}_2^{-S} \rangle$
- (xv)  $\langle \mathcal{K}_4 \rangle ::= B \mid S S \langle \mathcal{K}_4 \rangle$

## 8 Beyond $\mathcal{H}_0$ : the Sets $\mathcal{N}^{-s}$ and $\mathcal{N}^{-ss}$

Recall:

$$\begin{aligned}\mathcal{N}^{-s} &\stackrel{\text{def}}{=} \{n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{N}\} && \text{and} \\ \mathcal{N}^{-ss} &\stackrel{\text{def}}{=} \{n \in \mathcal{N} \mid n(SS) \xrightarrow{*} \mathcal{N}\}.\end{aligned}$$

Since  $S + SS \subseteq \mathcal{L}_0$ , Part 2,  $\mathcal{H}_0 \mathcal{L}_0 \downarrow$ , easily shows  $\mathcal{H}_0 \subseteq \mathcal{N}^{-s}$  and  $\mathcal{H}_0 \subseteq \mathcal{N}^{-ss}$ . Now, we proceed to complete the representation of the terms in  $\mathcal{N}^{-ss}$ . Let:

$$\mathcal{L}_4 \stackrel{\text{def}}{=} (SS + B)^*[S\mathcal{H}_0^{-ss}(S + SS)].$$

We will show  $\mathcal{N}^{-ss} = \mathcal{H}_0 + \mathcal{L}_4$ . After this, we can revise the grammatical rule for  $\langle \mathcal{N} \rangle$  to:

$$\langle \mathcal{N} \rangle ::= S \mid \langle \mathcal{L}_0 \rangle \langle \mathcal{N} \rangle \mid \langle \mathcal{H}_0 \rangle \langle \mathcal{L}_0 \rangle \mid \langle \mathcal{L}_1 \rangle \langle \mathcal{H}_0 \rangle \mid \langle \mathcal{L}_2 \rangle \langle \mathcal{L}_1 \rangle \mid \langle \mathcal{N}^{-s} \rangle S \mid \langle \mathcal{L}_4 \rangle (SS)$$

Indeed, we show:

$$\mathbf{Part\ 10.} \quad \mathcal{L}_4(SS) \downarrow \quad \text{and} \quad (\mathcal{H}_1 \cap \mathcal{N} - \mathcal{L}_4)(SS) \uparrow.$$

$$\mathbf{Corollary\ 4.} \quad \mathcal{H}_1 \cap \mathcal{N}^{-ss} = (SS + B)^*[S(SB + SS\mathcal{H}_0^{-ss})(S + SS)].$$

The right sub-terms of  $\mathcal{L}_4$  are  $S$ ,  $SS$ , and  $\mathcal{L}_4$ . Because of Corollary 2, for the grammar of  $\langle \mathcal{L}_4 \rangle$  we only need to describe the sets:

$$\begin{aligned}\mathcal{L}_4^{-s} &\stackrel{\text{def}}{=} \{n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{L}_4\} && \text{and} \\ \mathcal{L}_4^{-ss} &\stackrel{\text{def}}{=} \{n \in \mathcal{N} \mid n(SS) \xrightarrow{*} \mathcal{L}_4\}\end{aligned}$$

so we could write:

$$\langle \mathcal{L}_4 \rangle ::= SS \langle \mathcal{L}_4 \rangle \mid B \langle \mathcal{L}_4 \rangle \mid \langle \mathcal{L}_4^{-s} \rangle S \mid \langle \mathcal{L}_4^{-ss} \rangle (SS)$$

We start by proving:

$$\mathbf{Proposition\ 7.} \quad \mathcal{L}_4^{-ss} = (SS)^*[S\mathcal{H}_0^{-ss}].$$

$$\text{Recall: } \mathcal{K}_0 \stackrel{\text{def}}{=} (SS)^*[S] \subseteq \mathcal{K}_{01} \stackrel{\text{def}}{=} (SS)^*[S + SS] = \mathcal{L}_0^{-s}.$$

$$\mathbf{Proposition\ 8.} \quad \{n \in \mathcal{N} \mid nS \in \mathcal{L}_4^{-ss}\} = \mathcal{K}_0.$$

$$\mathbf{Proposition\ 9.} \quad \mathcal{L}_4^{-s} = (SS)^*[S\mathcal{H}_0^{-ss} + SK_0S].$$

Note  $\mathcal{L}_4^{-ss} \subseteq \mathcal{L}_4^{-s}$  and the difference  $\mathcal{L}_4^{-s} - \mathcal{L}_4^{-ss} = (SS)^*[SK_0S] \subseteq \mathcal{H}_0^{-s}$  so  $\{n \in \mathcal{N} \mid nS \xrightarrow{*} \mathcal{H}_1 \cap \mathcal{N}^{-ss}\} \subseteq \mathcal{L}_4^{-ss}$ . Let:

$$\mathcal{K}_5 \stackrel{\text{def}}{=} (SS)^*[SK_0] \quad \text{and} \quad \mathcal{K}_6 \stackrel{\text{def}}{=} (SS)^*[SK_0S].$$



Then, we can specify a grammar for  $\langle \mathcal{L}_4 \rangle$ :

$$(xvi) \quad \langle \mathcal{L}_4 \rangle ::= SS \langle \mathcal{L}_4 \rangle \mid B \langle \mathcal{L}_4 \rangle \mid \langle \mathcal{L}_4^{-s} \rangle S \mid \langle \mathcal{L}_4^{-ss} \rangle (SS)$$

$$(xvii) \quad \langle \mathcal{L}_4^{-s} \rangle ::= \langle \mathcal{L}_4^{-ss} \rangle \mid \langle \mathcal{K}_6 \rangle$$

$$(xviii) \quad \langle \mathcal{L}_4^{-ss} \rangle ::= \langle \mathcal{K}_0 \rangle S \mid S \langle \mathcal{H}_0^{-ss} \rangle \mid SS \langle \mathcal{L}_4^{-ss} \rangle$$

$$(xix) \quad \langle \mathcal{K}_6 \rangle ::= \langle \mathcal{K}_5 \rangle S \mid SS \langle \mathcal{K}_6 \rangle$$

$$(xx) \quad \langle \mathcal{K}_5 \rangle ::= \langle \mathcal{K}_0 \rangle S \mid S \langle \mathcal{K}_0 \rangle \mid SS \langle \mathcal{K}_5 \rangle$$

Now, the only piece missing in our grammar for  $\langle \mathcal{N} \rangle$  is  $\langle \mathcal{N}^{-s} \rangle$ . To fill this gap, we first prove:

**Part 11.**  $\mathcal{N}^{-s} = (S\mathcal{L}_0^{-s})^*[S + SN + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s} + S\mathcal{L}_4^{-s}S]$ .

*Remark 2.* Recall  $\mathcal{H}_0 \subseteq \mathcal{N}^{-s} \cap \mathcal{N}^{-ss}$ . Now, we can easily check:

$$\mathcal{L}_4 = (SS + B)^*[S\mathcal{H}_0^{-ss}(S + SS)] \subseteq (S\mathcal{L}_0^{-s})^*[S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s}] \subseteq \mathcal{N}^{-s}.$$

It is no surprise  $\mathcal{N}^{-ss} \subseteq \mathcal{N}^{-s}$ .

After this result, to investigate  $\langle \mathcal{N}^{-s} \rangle$  we only need investigate the redexes that reduce into  $\mathcal{N}^{-s}$ . Suppose  $n_1n_2$  is a redex with  $n_1, n_2 \in \mathcal{N}$ , and  $n_1n_2 \xrightarrow{+} n_0 \in \mathcal{N}^{-s}$ . Since  $n_0$  is a reductum,  $n_0 \notin S + SN + SN^s$ . Then, from the expression for  $\mathcal{N}^{-s}$  in Part 11,  $n_0 \in S\mathcal{L}_0^{-s}\mathcal{N}^{-s} + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s}$ . In short,  $n_1n_2 \xrightarrow{+} S\mathcal{H}_0^{-s}\mathcal{N}^{-s}$ . We proceed to analyze exhaustively the choices for  $n_1$ : We have either  $n_1 \in \mathcal{H}_0$ , or  $n_1 \in \mathcal{H}_1 \cap \mathcal{N}^{-ss}$  and  $n_2 = SS$ , or  $n_1 \in \mathcal{H}_1 \cap \mathcal{N}^{-s}$  and  $n_2 = S$ .

**#1.** Suppose  $n_1 \in \mathcal{H}_0$ . We need to examine various cases from  $n_1 \in (SS)^*[S + SN + SBS + SB(SS) + B\mathcal{H}_0]$ :

(i) Suppose  $n_1 \in (SS)^*[S]$ . If  $n_1 = S$ , the  $S$ -term  $n_1n_2 \in SN$  ( $\subseteq \mathcal{N}^{-s}$ !) is not a redex. Then, we are supposing  $n_1 = (SS)^{k+1}[S] = SS((SS)^k[S])$  for some  $k \geq 0$ . Then,

$$\begin{aligned} n_1n_2 &= SS((SS)^k[S])n_2 \longrightarrow Sn_2((SS)^k[S]n_2) \xrightarrow{*} \\ &S\mathcal{L}_0^{-s}\mathcal{N}^{-s} + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s} \subseteq \mathcal{N}^{-s}. \end{aligned}$$

Therefore, either  $n_2 \in \mathcal{L}_0^{-s}$  (and  $(SS)^k[S]n_2 \xrightarrow{*} \mathcal{N}^{-s}$ ) or  $(SS)^k[S]n_2 \xrightarrow{*} \mathcal{H}_0^{-s}$ .

– Suppose  $n_2 \in \mathcal{L}_0^{-s}$ . Then, we may verify:

$$n_1n_2 \in (SS)^*[S]\mathcal{L}_0^{-s} \xrightarrow{*} (S\mathcal{L}_0^{-s})^*[S\mathcal{L}_0^{-s}] \subseteq (S\mathcal{L}_0^{-s})^*[SN] \subseteq \mathcal{N}^{-s}.$$

– Suppose  $(SS)^k[S]n_2 \xrightarrow{*} \mathcal{H}_0^{-s}$  and  $n_2 \notin \mathcal{L}_0^{-s}$ . Recalling  $\mathcal{H}_0^{-s} = (SS)^*[S + SS + B + SB + S\mathcal{L}_0^{-s}S]$  and the rules for  $\langle \mathcal{H}_0^{-s} \rangle$ , we determine this needs  $(SS)^k[S] = S$  and  $n_2 = B$ . Given this, we verify:

$$n_1n_2 = (SSS)B \longrightarrow SB(SB) \subseteq S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} \subseteq \mathcal{N}^{-s}.$$

- (ii) Suppose  $n_1 \in (SS)^*[Sn'_1]$  for some  $n'_1 \in \mathcal{N}$ . If  $n_1 = Sn'_1$ , the  $S$ -term  $n_1 n_2 \in S\mathcal{N}\mathcal{N}$  is not a redex (the allowed values for  $n'_1$  and  $n_2$  can be found in Part 11). Then, we are supposing  $n_1 = (SS)^{k+1}[Sn'_1] = SS((SS)^k[Sn'_1])$  for some  $k \geq 0$ . Then,

$$n_1 n_2 = SS((SS)^k[Sn'_1]) n_2 \longrightarrow Sn_2((SS)^k[Sn'_1] n_2) \xrightarrow{*} \\ S\mathcal{L}_0^{-s}\mathcal{N}^{-s} + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s} \subseteq \mathcal{N}^{-s}.$$

Therefore, either  $n_2 \in \mathcal{L}_0^{-s}$  (and  $(SS)^k[Sn_1] n_2 \xrightarrow{*} \mathcal{N}^{-s}$ ) or  $(SS)^k[Sn_1] n_2 \xrightarrow{*} \mathcal{H}_0^{-s}$ .

- Suppose  $n_2 \in \mathcal{L}_0^{-s}$ . Then,

$$n_1 n_2 \in (SS)^*[Sn'_1] \mathcal{L}_0^{-s} \xrightarrow{*} (S\mathcal{L}_0^{-s})^*[Sn'_1 \mathcal{L}_0^{-s}] \subseteq (S\mathcal{L}_0^{-s})^*[Sn'_1 \mathcal{L}_0^{-s}] \subseteq \mathcal{N}^{-s}.$$

However, from Part 11, for the above statement, we need to match,

$$Sn'_1 \mathcal{L}_0^{-s} \subseteq S\mathcal{L}_0^{-s}\mathcal{N}^{-s} + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s} + S\mathcal{L}_4^{-s}S.$$

This is satisfied only if  $n'_1 \in \mathcal{H}_0^{-s}$ , for any  $n_2 \in \mathcal{L}_0^{-s}$ , or if  $n'_1 \in \mathcal{L}_4^{-s}$ , for the particular case of  $n_2 = S \in \mathcal{L}_0^{-s}$ . These alternatives are verified with:

$$n_1 n_2 \in (SS)^*[S\mathcal{H}_0^{-s}] \mathcal{L}_0^{-s} \xrightarrow{*} (S\mathcal{L}_0^{-s})^*[S\mathcal{H}_0^{-s} \mathcal{L}_0^{-s}] \subseteq \mathcal{N}^{-s} \quad \text{and}$$

$$n_1 n_2 \in (SS)^*[S\mathcal{L}_4^{-s}] S \xrightarrow{*} (S\mathcal{L}_0^{-s})^*[S\mathcal{L}_4^{-s} S] \subseteq \mathcal{N}^{-s}.$$

- Suppose  $(SS)^k[Sn'_1] n_2 \xrightarrow{*} \mathcal{H}_0^{-s}$  and  $n_2 \notin \mathcal{L}_0^{-s}$ . Then,  $(SS)^k[Sn'_1] = SS$  and  $n_2 \in \mathcal{H}_0^{-s} - \mathcal{L}_0^{-s}$ . With  $n_1 = SS(SS)$  and  $n_2 \in \mathcal{L}_i^{-s}$  for  $i = 1, 2$ , or  $3$ ,

$$n_1 n_2 \in SS(SS) \mathcal{L}_i^{-s} \longrightarrow S\mathcal{L}_i^{-s}(SS\mathcal{L}_i^{-s}) \subseteq \\ S\mathcal{L}_0^{-s}\mathcal{N}^{-s} + S\mathcal{H}_0^{-s}\mathcal{L}_0^{-s} + S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} + S\mathcal{L}_2^{-s}\mathcal{L}_1^{-s} \subseteq \mathcal{N}^{-s}.$$

This is only satisfied when  $i = 1$  (note  $i = 0$  is not an option now!). Then, we may verify,

$$n_1 n_2 \in SS(SS) \mathcal{L}_1^{-s} \longrightarrow S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} \subseteq \mathcal{N}^{-s}.$$

- (iii) Suppose  $n_1 \in (SS)^*[SBS]$ . Then,

$$n_1 n_2 = (SS)^*[SBS] n_2 \xrightarrow{*} (Sn_2)^*[SBS n_2] \xrightarrow{*} \mathcal{N}^{-s}.$$

For this, we need to verify  $SBS n_2 \xrightarrow{*} \mathcal{N}^{-s}$  first:

$$SBS n_2 \longrightarrow Bn_2(Sn_2) \xrightarrow{2} S(n_2(Sn_2)) (Sn_2(n_2(Sn_2))) \xrightarrow{*} S\mathcal{H}_0^{-s}\mathcal{N}^{-s}.$$

Thus,  $n_2(Sn_2) \xrightarrow{*} \mathcal{H}_0^{-s}$ . To satisfy this, we need  $n_2 \in S + SS$ . This being provided, we can verify  $SBS n_2 \xrightarrow{*} \mathcal{N}^{-s}$  with:

$$SBSS \xrightarrow{*} SB(SSB) \in S\mathcal{L}_1^{-s}\mathcal{H}_0^{-s} \subseteq \mathcal{N}^{-s} \quad \text{but}$$

$SBS(SS) \notin \langle \mathcal{N}^{-s} \rangle$ , because  $SBS(SS)S \uparrow$  (from part 8 after some reductions).

Therefore, given  $n_1 \in (SS)^*[SBS]$ , only for  $n_2 = S$  we may verify:

$$\begin{aligned} n_1 n_2 \in (SS)^*[SBS] S &\xrightarrow{*} \\ (SS)^*[SBS] S &\xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}] \subseteq \mathcal{N}^{-S}. \end{aligned}$$

(iv) Suppose  $n_1 = (SS)^*[SB(SS)]$ . Then:

$$n_1 n_2 = (SS)^*[SB(SS)] n_2 \xrightarrow{*} (Sn_2)^*[SB(SS) n_2] \xrightarrow{*} \mathcal{N}^{-S}.$$

For this, we need to verify  $SB(SS) n_2 \xrightarrow{*} \mathcal{N}^{-S}$  first:

$$\begin{aligned} SB(SS) n_2 &\xrightarrow{2} Bn_2(SSn_2) \xrightarrow{2} S(n_2(SSn_2)) (SSn_2(n_2(SSn_2))) \xrightarrow{*} \\ &S(n_2(SSn_2)) (S(n_2(SSn_2)) (n_2(n_2(SSn_2)))) \xrightarrow{*} S\mathcal{H}_0^{-S} \mathcal{N}^{-S}. \end{aligned}$$

Thus,  $n_2(SSn_2) \xrightarrow{*} \mathcal{H}_0^{-S}$ , but for this we need  $n_2 = SS$ . Then:

$$\begin{aligned} SB(SS) n_2 &\xrightarrow{*} S(SS(SS(SS))) (S(SS(SS(SS))) (SS(SS(SS(SS)))))) \in \\ &(S\mathcal{L}_0^{-S})^*[S\mathcal{H}_0^{-S} \mathcal{L}_0^{-S}] \subseteq \mathcal{N}^{-S}. \end{aligned}$$

Therefore, given  $n_1 \in (SS)^*[SB(SS)]$ , only for  $n_2 = SS$  we may verify:

$$\begin{aligned} n_1 n_2 \in (SS)^*[SB(SS)] (SS) &\xrightarrow{*} \\ (S(S+SS))^*[SB(SS) (SS)] &\xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}] \subseteq \mathcal{N}^{-S}. \end{aligned}$$

(v) Suppose  $n_1 = (SS)^*Bn'_1$  for some  $n'_1 \in \mathcal{H}_0$ . Then,

$$n_1 n_2 = (SS)^*[Bn'_1] n_2 \xrightarrow{*} (Sn_2)^*[Bn'_1 n_2] \xrightarrow{*} \mathcal{N}^{-S}.$$

For this, we need to verify  $Bn'_1 n_2 \xrightarrow{*} \mathcal{N}^{-S}$  first:

$$Bn'_1 n_2 \xrightarrow{2} S(n'_1 n_2)(n_2(n'_1 n_2)) \xrightarrow{*} S\mathcal{L}_0^{-S} \mathcal{N}^{-S} + S\mathcal{H}_0^{-S} \mathcal{L}_0^{-S} + S\mathcal{L}_1^{-S} \mathcal{H}_0^{-S} + S\mathcal{L}_2^{-S} \mathcal{L}_1^{-S} \subseteq \mathcal{N}^{-S}.$$

Therefore, either  $n'_1 n_2 \in \mathcal{L}_0^{-S}$  (and  $n_2(n'_1 n_2) \xrightarrow{*} \mathcal{N}^{-S}$ ) or  $n_2(n'_1 n_2) \xrightarrow{*} \mathcal{H}_0^{-S}$ .

– Suppose  $n'_1 n_2 \in \mathcal{L}_0^{-S}$ . Then, either  $n'_1 \in \mathcal{L}_0^{-S}$  and  $n_2 = S$ , or  $n'_1 = SS$  and  $n_2 \in \mathcal{L}_0^{-S}$ . For these alternatives we compute:

$$B\mathcal{L}_0^{-S} S \xrightarrow{2} S(\mathcal{L}_0^{-S} S)(S(\mathcal{L}_0^{-S} S)) \xrightarrow{*} S\mathcal{L}_0^{-S} (S\mathcal{L}_0^{-S}) \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[S\mathcal{N}] \subseteq \mathcal{N}^{-S} \quad \text{and}$$

$$B(SS)\mathcal{L}_0^{-S} \xrightarrow{2} S(SS\mathcal{L}_0^{-S})(\mathcal{L}_0^{-S}(SS\mathcal{L}_0^{-S})) \subseteq S\mathcal{L}_0^{-S}(\mathcal{L}_0^{-S}\mathcal{L}_0^{-S}) \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}] \subseteq \mathcal{N}^{-S}.$$

(Note:  $\mathcal{L}_0^{-S} \mathcal{L}_0^{-S} \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}]$  was verified in (i) and (ii) above.) This way, we may verify our choice we have with:

$$n_1 n_2 \in (SS)^*[B\mathcal{L}_0^{-S}] S \xrightarrow{*} (SS)^*[B\mathcal{L}_0^{-S} S] \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}] \subseteq \mathcal{N}^{-S} \quad \text{and}$$

$$n_1 n_2 \in (SS)^*[B(SS)] \mathcal{L}_0^{-S} \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[B(SS)\mathcal{L}_0^{-S}] \xrightarrow{*} (S\mathcal{L}_0^{-S})^*[\mathcal{N}^{-S}] \subseteq \mathcal{N}^{-S}.$$

- Suppose  $n_2(n'_1 n_2) \xrightarrow{*} \mathcal{H}_0^{-S}$  and  $n'_1 n_2 \notin \mathcal{L}_0^{-S}$ . Then,  $n'_1 = S$  and  $n_2 = SS$ . In this case, we may verify:

$$n_1 n_2 \in (SS)^*[BS](SS) \xrightarrow{*} (S(SS))^*[SB(SSB)] \in (S\mathcal{L}_0^{-S})^*[S\mathcal{H}_0^{-S}\mathcal{L}_1^{-S}] \subseteq \mathcal{N}^{-S}.$$

In summary,  $n_1 n_2 \xrightarrow{*} \mathcal{N}^{-S}$  for  $n_1 \in \mathcal{H}_0 - S - S\mathcal{N}$ , only if:

$$\begin{array}{ll} n_1 n_2 \in (SS)^*[S]\mathcal{L}_0^{-S}, & n_1 n_2 \in (SS)^*[SBS]S, \\ n_1 n_2 = (SSS)B, & n_1 n_2 \in (SS)^*[SB(SS)](SS), \\ n_1 n_2 \in (SS)^*[S\mathcal{H}_0^{-S}]\mathcal{L}_0^{-S}, & n_1 n_2 \in (SS)^*[B\mathcal{L}_0^{-S}]S, \\ n_1 n_2 \in (SS)^*[S\mathcal{L}_4^{-S}]S, & n_1 n_2 \in (SS)^*[B(SS)]\mathcal{L}_0^{-S}, \quad \text{or} \\ n_1 n_2 \in SS(SS)\mathcal{L}_1^{-S}, & n_1 n_2 \in (SS)^*[BS](SS). \end{array}$$

**#2.** Suppose  $n_1 \in \mathcal{H}_1 \cap \mathcal{N}^{-SS}$  and  $n_2 = SS$ . Then,  $n_1 \in (SS+B)^*[n'_1]$  for some  $n'_1 \in S(SB+SS\mathcal{H}_0^{-SS})(S+SS)$ . We will first show  $n_1 n_2 \xrightarrow{*} (S\mathcal{N})^*[n'_1 n_2]$ . Then, we will show that  $n'_1 n_2 \xrightarrow{*} \mathcal{N}^{-S}$  is not possible, i.e.,  $n'_1 n_2 S \uparrow$ . From these results and Proposition R we can verify  $n_1 n_2 \xrightarrow{*} \mathcal{N}^{-S}$  is impossible.

With Proposition R, we compute:

$$n_1 n_2 = (SS+B)^*[n'_1](SS) \xrightarrow{*} (B+SS(SS))^*[n'_1(SS)].$$

Clearly  $n'_1(SS) \in (S\mathcal{N})^*[n'_1 n_2]$ . Suppose  $n \in (S\mathcal{N})^*[n'_1 n_2]$ . Then,

$$\begin{aligned} Bn &= B((S\mathcal{N})^*[n'_1 n_2]) \subseteq (S\mathcal{N})^*[n'_1 n_2] & \text{and} \\ SS(SS)n &\longrightarrow Sn(SSn) \subseteq (S\mathcal{N})^*[n] \subseteq (S\mathcal{N})^*[n'_1 n_2]. \end{aligned}$$

Therefore,  $n_1 n_2 \xrightarrow{*} (S\mathcal{N})^*[n'_1 n_2]$ .

We show in no case  $n'_1 n_2 \xrightarrow{*} \mathcal{N}^{-S}$  with the following:

$$\begin{aligned} n'_1 n_2 \in S(SB+SS\mathcal{H}_0^{-SS})(S+SS)(SS) &\xrightarrow{*} \\ S((SS)^*[SSS+SS(SS)+SSB+SB])(S+SS)(SS) &\xrightarrow{*} \\ ((SS)^*[SSS+SS(SS)+SSB+SB](SS))((S+SS)(SS)) &\xrightarrow{*} \\ ((B)^*[BB+B(SS(SS))+B(B(SS))+SB(SS)])(B+SS(SS)). \end{aligned}$$

The left component in the final expression (not yet in normal form!) is a subset of  $\mathcal{H}_0$ . However, every application of a term in this left component with a term in the right component,  $B$  or  $SS(SS)$ , fails to match any  $n_3 n_4 \in \mathcal{H}_0 \mathcal{N}$  such that  $n_3 n_4 \xrightarrow{*} \mathcal{N}^{-S}$  discussed before.

**#3.** Suppose  $n_1 \in \mathcal{H}_1 \cap \mathcal{N}^{-S}$  and  $n_2 = S$ . Then,  $n_1 = Sn_3 n_4$  for some  $n_3, n_4 \in \mathcal{N}$ . Naturally,  $n_1 n_2 = Sn_3 n_4 S \longrightarrow (n_3 S)(n_4 S)$  and  $n_3 S \xrightarrow{*} \mathcal{H}_0 + \mathcal{H}_1$ . We reject  $n_3 S \xrightarrow{*} \mathcal{H}_1$  because if so, we would have to accept  $n_1 n_2 \xrightarrow{*} \mathcal{H}_1(SS)$ , but this resulting set was proven disjoint from  $\langle \mathcal{N}^{-S} \rangle$  just above. Then,  $n_3 S \xrightarrow{*} \mathcal{H}_0$ . Let  $n'_3, n'_4 \in \mathcal{N}$  be

such that  $n_3 S \xrightarrow{*} n'_3$  and  $n_4 S \xrightarrow{*} n'_4$ . Then,  $n'_3 n'_4 \in \mathcal{H}_0 \mathcal{N}$ . Therefore, either  $n'_3 n'_4$  matches one of the choices found in **#1**, when supposing “ $n_1 \in \mathcal{H}_0$ ,” or else  $n'_3 \in S\mathcal{N}$ . For the first alternative, we extract  $n_1 \in S\mathcal{L}_0^{-s} \mathcal{L}_0^{-s} + SK_4 S$  from the result at the end of **#1** ( $\mathcal{K}_4 = (\mathcal{L}_2^{-s})^{-s}$  justifies the  $SK_4 S$  part). This is because  $n'_3$  cannot be  $B(SS)$ ,  $SB(SS)$ , nor in  $\mathcal{L}_0 - \mathcal{L}_0^{-s}$ , e.g., not in  $(SS)^*[S\mathcal{L}_4^{-s}]$ , and  $n'_4$  cannot be  $S$ ,  $B$ , nor in  $\mathcal{L}_1^{-s}$ . The second alternative forces  $n'_3 = SS$ , so  $n_3 = S$  and  $n_1 n_2 = SS n_4 S \rightarrow SS(n_4 S)$ , which still requires  $n_4 S \xrightarrow{*} \mathcal{N}^{-s}$ . Therefore, the second alternative only introduces the possibility of having any number of prefixes  $SS$ . However, the base expression must be an  $S$ -term given from the first alternative. Therefore,  $n_1 n_2 \xrightarrow{*} \mathcal{N}^{-s}$  for  $n_1 \in \mathcal{N}^{-s}$  and  $n_2 = S$ , only if:

$$n_1 \in (SS)^*[S\mathcal{L}_0^{-s} \mathcal{L}_0^{-s}] \quad \text{or} \quad n_1 \in (SS)^*[SK_4 S].$$

Let

$$\begin{aligned} \mathcal{J}_1 &\stackrel{\text{def}}{=} SSS, & \mathcal{J}_6 &\stackrel{\text{def}}{=} (SS)^*[B(SS)], \\ \mathcal{J}_2 &\stackrel{\text{def}}{=} (SS)^*[S\mathcal{H}_0^{-s}], & \mathcal{J}_7 &\stackrel{\text{def}}{=} (SS)^*[B\mathcal{L}_0^{-s}], \\ \mathcal{J}_3 &\stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_4^{-s}], & \mathcal{J}_8 &\stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_0^{-s}], \\ \mathcal{J}_4 &\stackrel{\text{def}}{=} SS(SS), & \mathcal{J}_9 &\stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_0^{-s}S], \quad \text{and} \\ \mathcal{J}_5 &\stackrel{\text{def}}{=} (SS)^*[SB(SS)], & \mathcal{J}_{10} &\stackrel{\text{def}}{=} (SS)^*[S\mathcal{L}_0^{-s} \mathcal{L}_0^{-s} + SK_4 S]. \end{aligned}$$

We complete the grammar as follows:

$$\begin{aligned} \text{(xxi)} \quad \langle \mathcal{N}^{-s} \rangle &::= S \mid S \langle \mathcal{N} \rangle \mid S \langle \mathcal{H}_0^{-s} \rangle \langle \mathcal{L}_0^{-s} \rangle \mid S \langle \mathcal{L}_1^{-s} \rangle \langle \mathcal{H}_0^{-s} \rangle \mid S \langle \mathcal{L}_2^{-s} \rangle \langle \mathcal{L}_1^{-s} \rangle \mid \\ &S \langle \mathcal{L}_4^{-s} \rangle S \mid \langle \mathcal{K}_0 \rangle \langle \mathcal{L}_0^{-s} \rangle \mid \langle \mathcal{J}_1 \rangle B \mid \langle \mathcal{J}_2 \rangle \langle \mathcal{L}_0^{-s} \rangle \mid \langle \mathcal{J}_3 \rangle S \mid \\ &\langle \mathcal{J}_4 \rangle \langle \mathcal{L}_1^{-s} \rangle \mid \langle \mathcal{L}_1 \rangle S \mid \langle \mathcal{J}_5 \rangle (SS) \mid \langle \mathcal{J}_6 \rangle \langle \mathcal{L}_0^{-s} \rangle \mid \langle \mathcal{J}_7 \rangle S \mid \\ &\langle \mathcal{J}_9 \rangle S \mid \langle \mathcal{J}_{10} \rangle S \mid \langle \mathcal{L}_2^{-s} \rangle (SS) \mid S \langle \mathcal{L}_0^{-s} \rangle \langle \mathcal{N}^{-s} \rangle \end{aligned}$$

$$\text{(xxii)} \quad \langle \mathcal{J}_1 \rangle ::= SSS$$

$$\text{(xxiii)} \quad \langle \mathcal{J}_2 \rangle ::= \langle \mathcal{K}_0 \rangle S \mid S \langle \mathcal{H}_0^{-s} \rangle \mid SS \langle \mathcal{J}_2 \rangle$$

$$\text{(xxiv)} \quad \langle \mathcal{J}_3 \rangle ::= \langle \mathcal{K}_0 \rangle S \mid S \langle \mathcal{L}_4^{-s} \rangle \mid SS \langle \mathcal{J}_3 \rangle$$

$$\text{(xxv)} \quad \langle \mathcal{J}_4 \rangle ::= SS(SS) \mid \langle \mathcal{J}_1 \rangle S$$

$$\text{(xxvi)} \quad \langle \mathcal{J}_5 \rangle ::= SB(SS) \mid SS \langle \mathcal{J}_5 \rangle$$

$$\text{(xxvii)} \quad \langle \mathcal{J}_6 \rangle ::= B(SS) \mid SS \langle \mathcal{J}_6 \rangle$$

$$\text{(xxviii)} \quad \langle \mathcal{J}_7 \rangle ::= \langle \mathcal{K}_4 \rangle S \mid B \langle \mathcal{L}_0^{-s} \rangle \mid SS \langle \mathcal{J}_7 \rangle$$

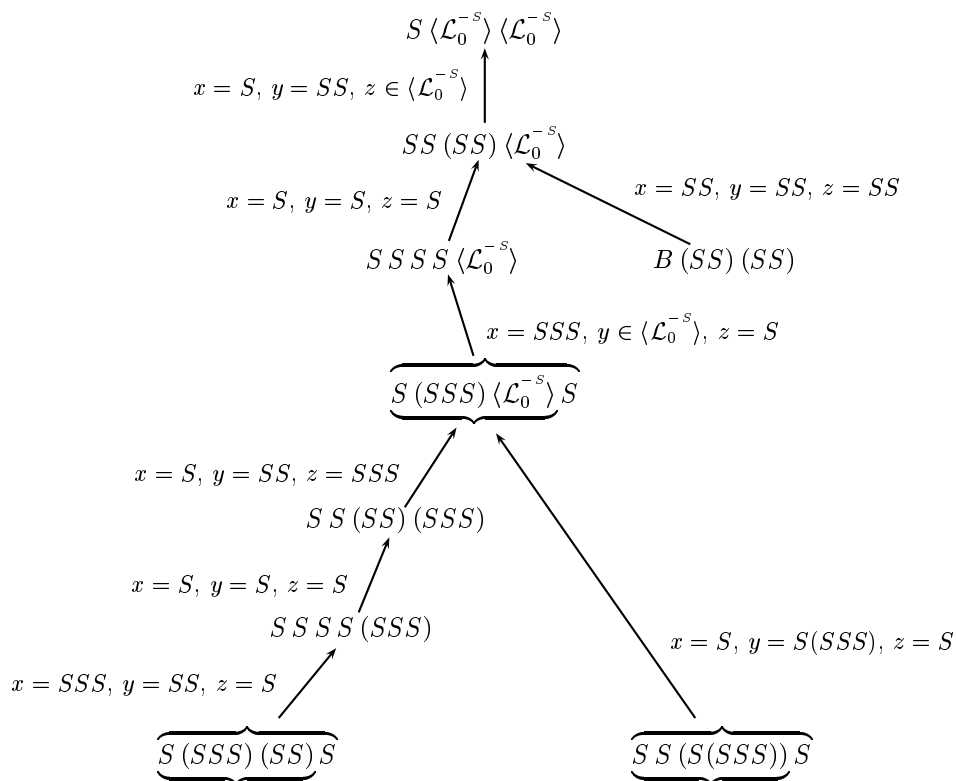
$$\text{(xxix)} \quad \langle \mathcal{J}_8 \rangle ::= S \langle \mathcal{L}_0^{-s} \rangle \mid SS \langle \mathcal{J}_8 \rangle$$

$$\text{(xxx)} \quad \langle \mathcal{J}_9 \rangle ::= \langle \mathcal{J}_8 \rangle S \mid SS \langle \mathcal{J}_9 \rangle$$

- (xxxix)  $\langle \mathcal{J}_{10} \rangle ::= S S \langle \mathcal{J}_{10} \rangle \mid S \langle \mathcal{L}_0^{-S} \rangle \langle \mathcal{L}_0^{-S} \rangle \mid$   
 $SS (SS) \langle \mathcal{L}_0^{-S} \rangle \mid S S S S \langle \mathcal{L}_0^{-S} \rangle \mid B (SS) (SS) \mid \langle \mathcal{J}_{11} \rangle S$
- (xxxii)  $\langle \mathcal{J}_{11} \rangle ::= S S \langle \mathcal{J}_{11} \rangle \mid S \langle \mathcal{K}_4 \rangle \mid$   
 $S (SSS) \langle \mathcal{L}_0^{-S} \rangle \mid S S (SS) (SSS) \mid S S S S (SSS)$
- (xxxiii)  $\langle \mathcal{J}_{12} \rangle ::= S S \langle \mathcal{J}_{12} \rangle \mid S S (S(SSS)) \mid S (SSS) (SS)$

As we can see, for every right rule part of the form  $X S$ , where  $X$  is a non-terminal, the rule  $X ::= S S X$  must be included in the set of rules for  $X$ .

The list of predecessors for  $\mathcal{J}_{10}$  was obtained with an analysis like the one shown in the following diagram (the  $(SS)^*[SK_4S]$  case is not shown in the diagram; such terms are immediately put in  $\langle \mathcal{J}_{11} \rangle$ ). Terms shown overbraced and underbraced in the diagram are at the boundaries between  $\langle \mathcal{J}_{10} \rangle$  and  $\langle \mathcal{J}_{11} \rangle$  ( $S (SSS) \langle \mathcal{L}_0^{-S} \rangle S$  and  $S (SSS) \langle \mathcal{L}_0^{-S} \rangle$  respectively) or between  $\langle \mathcal{J}_{11} \rangle$  and  $\langle \mathcal{J}_{12} \rangle$  ( $S (SSS) (SS) S$  and  $S (SSS) (SS)$  respectively,  $S S (S(SSS)) S$  and  $S S (S(SSS))$  respectively).



## 9 Conclusion

We made a further step in lowering the complexity of the decision algorithm, by presenting a context-free grammar which fully characterizes all normalizing  $S$ -terms. Thus the complexity of deciding whether an  $S$ -term  $X$  has a normal form is  $O(|X|^3)$ , as given by the CYK algorithm.

Several proofs have been omitted for brevity; they will appear in a full version of this paper.

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